#### Annexure- B

### **Disclosure of Investor Complaints**

### **Investor Complaints Data to be displayed by Stock Brokers**

Data for the month ending - February-2025

| Sr.<br>No. | Received from                 | Carried<br>forward<br>from<br>previous<br>Month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved | Pending at the end of the month         |  | Average<br>Resolution<br>time^ (in<br>days) |
|------------|-------------------------------|---|------------------------------------|------------------|----------|---|--|---|
|            |                               |   |                                    |                  |          | Pending<br>for less<br>than 3<br>months | Pending<br>for<br>more<br>than 3<br>months |   |
| 1          | 2                             | 3   | 4                                  | 5                | 6        | 7                                       | 7  | 8   |
| 1          | Directly<br>from<br>Investors | 0   | 0                                  | 0                | 0        | 0                                       | 0  | N.A.  |
| 2          | SEBI<br>(SCORES<br>2.0)       | 0   | 0                                  | 0                | 0        | 0                                       | 0  | N.A.  |
| 3          | Stock<br>Exchanges            | 0   | 0                                  | 0                | 0        | 0                                       | 0  | N.A.  |
| 4          | Other<br>Sources<br>(if any)  | 0   | 0                                  | 0                | 0        | 0                                       | 0  | N.A.  |
|            | Grand Total                   | 0   | 0                                  | 0                | 0        | 0                                       | 0  | N.A.  |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints

| SN | Month           | Carried forward from previous month | Received | Resolved | Pending |
|----|-----------------|-------------------------------------|----------|----------|---------|
| 1  | 2               | 3                                   | 4        | 5        | 6       |
| 1  | April, 2024     | 0                                   | 0        | 0        | 0       |
| 2  | May, 2024       | 0                                   | 0        | 0        | 0       |
| 3  | June, 2024      | 0                                   | 0        | 0        | 0       |
| 4  | July, 2024      | 0                                   | 0        | 0        | 0       |
| 5  | August, 2024    | 0                                   | 0        | 0        | 0       |
| 6  | September, 2024 | 0                                   | 0        | 0        | 0       |
| 7  | October, 2024   | 0                                   | 0        | 0        | 0       |
| 8  | November, 2024  | 0                                   | 0        | 0        | 0       |
| 9  | December, 2024  | 0                                   | 0        | 0        | 0       |
| 10 | January, 2025   | 0                                   | 0        | 0        | 0       |
| 11 | February, 2025  | 0                                   | 0        | 0        | 0       |
|    | Grand Total     | 0                                   | 0        | 0        | 0       |

# Trend of annual disposal of complaints

| SN | Year        | Carried forward from previous year | Received<br>during the<br>year | Resolved during the year | Pending<br>at the end of<br>the year |
|----|-------------|------------------------------------|--------------------------------|--------------------------|--------------------------------------|
| 1  | 2020-21     | 0                                  | 0                              | 0                        | 0                                    |
| 2  | 2021-22     | 0                                  | 0                              | 0                        | 0                                    |
| 3  | 2022-23     | 0                                  | 0                              | 0                        | 0                                    |
| 4  | 2023-24     | 0                                  | 0                              | 0                        | 0                                    |
| 5  | 2024-25     | 0                                  | 0                              | 0                        | 0                                    |
|    | Grand Total | 0                                  | 0                              | 0                        | 0                                    |